

Attorney Docket No. 042390P11384D

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

First Named Inventor Miguel N. Bermudez								
Title:	CAUTI	ON ESD LABEL WITH DOUBLE MAC ADDRESS NUMBER LABEL ATTACHMENT						
			— <u>18</u>					
Expr	ess Mail L	abel No						
ADD	RESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231						
		N ELEMENTS apter 600 concerning utility patent application contents.						
1.	<u>x</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)						
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)						
3.	<u>x</u>	Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure						
4.	<u>_x</u>	Drawings(s) (35 USC 113) (Total Sheets <u>3</u>						
5.	<u>x</u>	Oath or Declaration (Total Pages <u>6</u>)						
		a Newly Executed (Original or Copy)						
		 b. X Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 18A completed) 						
		i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						
		c Unsigned.						
6.		Application Data Sheet. (37 CFR 1.76)						
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
8.	(if applicable	Nucleotide and/or Amino Acid Sequence Submission e, all necessary) Computer Readable Form (CRF)						
	b c	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or iipaper Statement verifying identity of above copies						

ACCOMPANYING APPLICATION PARTS							
9 Assignment Papers (cover sheet & documents(s)) 10 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)							
b. Power of Attorney							
11 English Translation Document (if applicable)							
12 a. Information Disclosure Statement (IDS)/PTO-1449							
b. Copies of IDS Citations							
13. X Preliminary Amendment							
4. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
5 Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). <u>Applicant must attach</u> form PTO/SB/35 or its equivalent.							
17. X Other: separate title page with express mail certificate, copy of postcard and attorney's signature							
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:							
Continuation X Divisional Continuation-in-part (CIP) Of Prior Application No.: 09/895,426 Examiner Unknown Group Art Unit 3722 (which is a continuation/ divisional/ CIP of prior application no. (List entire chain of priority)							
Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that							
19. Correspondence Address							
Customer Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here) X Correspondence Address Below							
NAME Todd M. Becker							
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP							
ADDRESS 12400 Wilshire Boulevard							
Seventh Floor							
CITY Los Angeles STATE California ZIP CODE 90025-1026							
Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606							
Name (PRINT/TYPE): Todd M. Becker Registration No.: 43,487							
Signature: 10dd W. Bork Date: 12-10-01							

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2001											
TOTAL AMOUNT OF PAYMENT (\$)740.00_											
Complete if Known:											
Application No. New Application											
Filing Date Herewith											
First Named Inventor Miguel N. Bermudez											
Group Art Unit Unknown Examiner Name Unknown											
Attorney Docket No. 042390.P11384D											
METHOD OF PAYMENT (check one)											
 [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: 											
Deposit Account Number 02-2666 Deposit Account Name											
[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17											
[] Applicant claims small entity status. See 37 CFR 1.27											
2. X Payment Enclosed: X Check											
Credit Card											
Money Order Other											
FEE CALCULATION											
1. BASIC FILING FEE											
Large Entity Small Entity											
Fee Fee Fee											
Code (\$) Code (\$) Fee Description Fee Paid											
101 710 201 355 Utility application filing fee 740.00 106 320 206 160 Design application filing fee											
106 320 206 160 Design application filing fee											
108 710 208 355 Reissue filing fee											
114 150 214 75 Provisional application filing fee											
SUBTOTAL (1) \$ 740.00											
O EVEDA CLAIM FEEC											
2. <u>EXTRA CLAIM FEES</u> <u>Extra Claims</u> Fee from <u>below</u> <u>Fee Paid</u>											
Total Claims 17 $-20^{**} = 0$ X $18.00 = 0.00$											
Independent Claims 2 $-3^{**} = 0$ X $80.00 = 0.00$,										
Multiple Dependent =											
**Or number previously paid, if greater; For Reissues, see below.											
Large Entity Small Entity											
Fee Fee Fee											
Code (\$) Code (\$) Fee Description											
103											
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid											
109 80 209 40 **Reissue independent claims over original patent											
110 18 210 9 **Reissue claims in excess of 20 and over original patent											
SUBTOTAL (2) \$ 0.00											
FEE CALCULATION (continued)											

01/25/01

3. ADDITIONAL FEES								
Large	Entity	Small E	ntity					
Fee	Fee	Fee	Fee					
Code		Code	(\$)	Fee Description Fee Paid				
105	130	205	65	Surcharge - late filing fee or oath				
127	50	227	25	Surcharge - late provisional filing fee				
				or cover sheet				
139	130	139	130	Non-English specification				
147	2,520	147	2,520	For filing a request for ex parte reexamination				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
113	1,840*	113	1,840*	Requesting publication of SIR after				
	.,			Examiner action				
115	110	215	55	Extension for reply within first month				
116	390	216	195	Extension for reply within second month				
117	890	217	445	Extension for reply within third month				
118	1,390	218	695	Extension for reply within fourth month				
128	1,890	228	945	Extension for reply within fifth month				
119	310	219	155	Notice of Appeal				
120	310	220	155	Filing a brief in support of an appeal				
121	270	221	135	Request for oral hearing				
138	1,510	138	1,510	Petition to institute a public use proceeding				
140	110	240	55	Petition to revive – unavoidable				
141	1,240	241	620	Petition to revive - unintentional				
142	1,240	242	620	Utility issue fee (or reissue)				
143	440	243	220	Design issue fee				
144	600	244	300	Plant issue fee				
122	130	122	130	Petitions to the Commissioner				
123	50	123	50	Processing fee under 37 CFR 1.17(q)				
126	180	126	180	Submission of Information Disclosure Stmt				
581	40	581	40	Recording each patent assignment per				
				property (times number of properties)				
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))				
149	710	249	355	For each additional invention to be examined				
				(see 37 CFR 1.129(b))				
179	710	279	355	Request for Continued Examination (RCE)				
169	900	169	900	Request for expedited examination of a design				
				application				
195	300	195	300	Publication fee for early, voluntary, or normal publication				
196	300	196	300	Publication fee for republication				
194	130	194	130	Request for voluntary publication or republication				
098	130	098	130	Processing fee under 37 CFR 1.17(i)				
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority				
Other	fee (specif	ý)						
Other	fee (specif	ý)						
SUBTOTAL (3) \$ 0.00								
*Reduced by Basic Filing Fee Paid								
SUBMITTED BY:								
Typed or Printed Name: Todd M. Becker								
Signature: 108d M. Backes Date: 12-10-01								
Reg.	Number:	43,487		Telephone Number: (206) 292-8600				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

<u>_</u>__

Our Reference: '042390.P11384D Patent

CAUTION ESD LABEL WITH DOUBLE MAC ADDRESS NUMBER LABEL ATTACHMENT

Inventors: Miguel N. Bermudez

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Todd M. Becker Reg. No. 43,487

"Express Mail" mailing label number: _EL862051344US

December 10, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been

Date of Deposit:

addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 Rimma N. Oks d name of person mailing paper or fee) (Signature of person mailing paper or fee) (Date signed) Filing/Issue Date: Herewith Client: MAC ADDRESS NUMBER LABEL ATTACHMEN BSTZ File No.: 042390P11384D Atty/Secty Initials: TMB/rno Date Mailed: December 10, 2001 Docket Due Date: The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon: ☐ Amendment/Response (___pgs.) ■ Express Mail NEL862051344US Check No.001868 ☐ Appeal Brief (___pgs.) (in triplicate) __Month(s) Extension of Time Amt:\$740.00 ■ Application - Utility (11_pgs., with cover and abstract) ☐ Information Disclosure ☐ Application - Rule 1.53(b) Continuation (____pgs.) Statement & PTO-1449 (_ Check No. ☐ Application - Rule 1.53(b) Divisional (___pgs.) ☐ Issue Fee Transmittal ☐ Application - Rule 1.53(b) CIP (___pgs.) O Notice of Appeal ☐ Application - Rule 1:53(d) CPA Transmittal (_ ☐ Petition for Extension of Time ☐ Application - Design (___pgs.) ☐ Petition for_ ☐ Application - PCT (___pgs.) ■ Postcard □ Application - Provisional (___pgs.) ☐ Power of Attorney (___pgs.) ☐ Assignment and Cover Sheet ☐ Preliminary Amendment (<u>2</u> pgs.) Certificate of Mailing ☐ Reply Brief (___pgs.) Declaration & POA (6 pgs.) C Response to Notice of Missing Parts ☐ Disclosure Docs & Orig & ☐ Small Entity Declaration for Indep. Inventor/Small Business Copy of Inventor's Signed Letter (_ Transmittal Letter, in duplicate ■ Drawings: <u>3</u> # of sheets includes <u>3</u> figures Fee Transmittal, in duplicate * separate sheet with express mail label, copy of postcard, and attorney